



## PRIVACY POLICY STATEMENT

Iseler Financial, LLC recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. Iseler Financial, LLC will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. Iseler Financial, LLC will provide this privacy statement to all clients annually.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

### Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning related services we provide; and
- Comply with the Federal and State laws and regulations that govern us.

### What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

### What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for us to provide planning or investment management services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm or independent contractors hired by Iseler Financial, LLC. If your Financial Adviser leaves Iseler Financial to join another firm, he or she may be permitted to retain copies of client information so that they can assist with the transfer of client accounts and continue to serve the client at their new firm.

“Opting-Out” of Third Party Disclosures: If you do not want your Financial Planner/Financial Adviser to retain copies of your client sensitive information when he or she leaves us to join another firm, you may contact us by calling (919) 666-7604.

Otherwise, Iseler Financial will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with Iseler Financial, LLC will be subject to confidentiality agreements.

## How We Protect Your Personal Information

Privacy has always been important to Iseler Financial. We restrict and limit access to client information only to those who need to carry out their business functions. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information.

If you have any questions or concerns regarding privacy and your relationship with Iseler Financial, LLC, please do not hesitate to call or email. You will receive a timely reply.

Initialed: \_\_\_\_\_  
Client                      Date                      Co-Client                      Date                      Managing Member                      Date

[ISELER FINANCIAL, LLC](#) | [iselerfinancial.com](http://iselerfinancial.com) | [info@iselerfinancial.com](mailto:info@iselerfinancial.com) | Durham, NC 27707 | +1 919 666-7604

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